



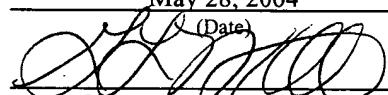
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PATENT

Case Docket No. PERMLT.019C1  
Date: May 28, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : John Popovich, et al.  
Appl. No. : 10/789,357  
Filed : February 27, 2004  
For : MOUNTING ARRANGEMENT  
FOR LIGHT EMITTING  
DIODES  
Examiner : Unknown  
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

May 28, 2004  
  
(Date)  
Glen L. Nuttal, Reg. No. 46,188

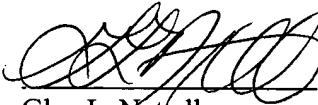
**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

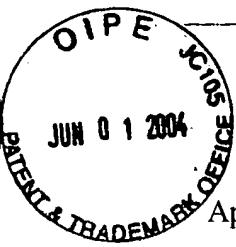
Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing thirty (33) references, one of which is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.



Glen L. Nuttal  
Registration No. 46,188  
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**INFORMATION DISCLOSURE STATEMENT**

Applicant	:	John Popovich, et al.
App. No.	:	10/789,357
Filed	:	February 27, 2004
For	:	MOUNTING ARRANGEMENT FOR LIGHT EMITTING DIODES
Examiner	:	Unknown
Group Art Unit	:	Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 33 references, 30 of which are of record in U.S. patent application No. 09/693,548, filed October 19, 2000, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of most of the references are not submitted pursuant to 37 C.F.R. § 1.98(d) and the PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003.

Applicants are informed that only pages 1-5 of the document entitled "Thermal Management Considerations for Super Flux LEDs, Application Note 1149-4" were submitted in the parent application. The full article, including pages 1-11, is submitted herewith.

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Filed : February 27, 2004

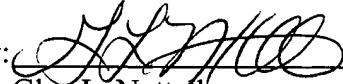
Docket No. PERMLT.019C1  
Customer No. 20,995

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5/28/04

By: 

Glen L. Nuttall

Registration No. 46,188

Attorney of Record

Customer No. 20,995

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. PERMLT.019C1	APPLICATION NO. 10/789,357
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT John Popovich, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE February 27, 2004	GROUP Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1	3,936,686	02/03/76	Moore			
2	4,143,411	03/06/79	Roberts			
3	4,591,954	05/27/86	Kawamura, et al.			
4	4,612,606	09/16/86	Roberts			
5	4,720,773	01/19/88	Ahroni			
6	4,761,720	08/02/88	Solow			
7	4,855,882	08/08/89	Boss			
8	4,908,743	03/13/90	Miller			
9	5,103,382	04/07/92	Kondo, et al.			
10	5,222,799	06/29/93	Sears, et al.			
11	5,499,170	03/12/96	Gagne			
12	5,607,227	03/04/97	Yasumoto, et al.			
13	5,660,461	08/26/97	Ignatius, et al.			
14	5,697,175	12/16/97	Schwartz			
15	5,746,497	05/05/98	Machida			
16	5,857,767	01/12/99	Hochstein			
17	6,042,248	03/28/00	Hannah, et al.			
18	6,045,240	04/04/00	Hochstein			
19	6,244,728 B1	06/12/01	Cole, et al.			
20	6,350,039 B1	02/26/02	Lee			
21	6,396,466	05/28/02	Pross, et al.			
22	6,428,189	08/06/02	Hochstein			
23	6,505,956	01/14/03	Priddy, et al.			
24	6,517,218	02/11/03	Hochstein			
25	6,566,824	05/20/03	Panagotacos, et al.			

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449 <i>O I P E J C T R S</i> INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>JUN 4 1 2004</i> USE SEVERAL SHEETS IF NECESSARY	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PERMLT.019C1	APPLICATION NO. 10/789,357
		APPLICANT John Popovich, et al.	
		FILING DATE February 27, 2004	GROUP Unknown

	<b>26</b>	6,578,986	06/17/03	Swaris, et al.			
	<b>27</b>	6,582,100	06/24/03	Hochstein, et al.			
	<b>28</b>	6,582,103	06/24/03	Popovich, et al.			
	<b>29</b>	2001/0015891	08/23/01	Suzuki, et al.			

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
<b>30</b>	HEWLETT PACKARD, Super Flux LED's, Pages 1-25, 1-26, and ii.	
<b>31</b>	HEWLETT PACKARD, Angeline Wong, Subminiature InGaN Blue Lamps, Pages 1-2, August 4, 1998.	
<b>32</b>	HEWLETT PACKARD, Thermal Management Considerations for Super Flux LEDs, Application Note 1149-4, Pages 1-11.	
<b>33</b>	HEWLETT PACKARD, Subminiature High Performance AlInGaP LED Lamps, Technical Data, Pages 1-161 – 1-162.	

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*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	